

Privacy Statement

Effective Date: 15th Oct'2025

Table of Contents

- Introduction
- Data Protection Officer
- How we collect and use (process) your personal information
- Use of the Regedge.com website
- When and how we share information with third parties
- Transferring personal data to the U.S.
- Data Subject rights
- Security of your information
- Data storage and retention
- Questions, concerns, or complaints

Introduction

RegEdge is a global boutique consulting firm focused on capital markets risk and regulatory strategy, transformation, data and technology.

We understand that you are aware of and care about your own personal privacy interests, and we take that seriously. This Privacy Notice describes RegEdge's policies and practices regarding its collection and use of your personal data, and sets forth your privacy rights. We recognize that information privacy is an ongoing responsibility, and so we will from time to time update this Privacy Notice as we undertake new personal data practices or adopt new privacy policies.

Data Protection Officer

RegEdge is headquartered in Pennsylvania, in the United States. RegEdge has appointed an internal data protection officer for you to contact if you have any questions or concerns about RegEdge's personal data policies or practices. If you would like to exercise your privacy rights, please direct your query to RegEdge's data protection officer. Regedge's data protection officer's name and contact information are as follows:

Rahul Aswani
RegEdge LLC
190 Presidential Blvd, Suite 805, Bala Cynwyd, PA 19004
rahul.aswani@regedge.com

How we collect and use (process) your personal information

RegEdge does not collect any personal information from visitors to its website by default. Visitors may, however, choose to voluntarily provide their name, email address, and a message through the “Contact Us” page if they wish to get in touch with us

Use of RegEdge Website

As is true of most other websites, RegEdge’s website collects certain information automatically and stores it in log files. The information may include internet protocol (IP) addresses, the region or general location where your computer or device is accessing the internet, browser type, operating system and other usage information about the use of RegEdge’s website, including a history of the pages you view. We use this information to help us design our site to better suit our users’ needs. We may also use your IP address to help diagnose problems with our server and to administer our website, analyze trends, track visitor movements, and gather broad demographic information that assists us in identifying visitor preferences.

RegEdge has a legitimate interest in understanding how members, clients and potential clients use its website. This assists RegEdge with providing more relevant services.

Sharing information with third parties

RegEdge’s website is hosted on the Wix platform. Wix is GDPR-compliant and leverages secure cloud infrastructure provided by Amazon Web Services (AWS) and Google Cloud Platform (GCP) for data storage. These third-party service providers are used solely for secure data hosting and retrieval purposes and do not access or process any personal information for any other use.

We do not otherwise reveal any data to non-RegEdge persons or businesses for their independent use unless: (1) you request or authorize it; (2) it’s in connection with RegEdge-hosted and RegEdge co-sponsored conferences as described above; (3) the information is provided to comply with the law (for example, compelled by law enforcement to comply with a search warrant, subpoena, or court order), enforce an agreement we have with you, or to protect our rights, property or safety, or the rights, property or safety of our employees or others; (4) the information is provided to our agents, vendors or service providers who perform functions on our behalf; (5) to address emergencies or acts of God; or (6) to address disputes, claims, or to persons demonstrating legal authority to act on your behalf. We may also gather aggregated data about our services and website visitors and disclose the results of such aggregated (but not personally identifiable) information to our partners, service providers, advertisers, and/or other third parties for marketing or promotional purposes.

Transferring personal data to the U.S.

RegEdge has its headquarters in the United States. Information we collect about you will be processed in the United States. By using RegEdge's services, you acknowledge that your personal information will be processed in the United States. The United States has not sought nor received a finding of "adequacy" from the European Union under Article 45 of the GDPR. Pursuant to Article 46 of the GDPR, RegEdge provides appropriate safeguards by entering binding, standard data protection clauses, enforceable by data subjects in the EEA and the UK. These clauses have been enhanced based on the guidance of the European Data Protection Board and will be updated when the new draft model clauses are approved.

Depending on the circumstance, RegEdge also collects and transfers to the U.S. personal data with consent; to perform a contract with you; or to fulfill a compelling legitimate interest of RegEdge in a manner that does not outweigh your rights and freedoms. RegEdge endeavors to apply suitable safeguards to protect the privacy and security of your personal data and to use it only consistent with your relationship with RegEdge and the practices described in this Privacy Statement. RegEdge also enters into data processing agreements and model clauses with its vendors whenever feasible and appropriate. Since it was founded, RegEdge has received zero government requests for information.

For more information or if you have any questions, please contact us at info@regedge.com

Data Subject rights

The European Union's General Data Protection Regulation (GDPR) and other countries' privacy laws provide certain rights for data subjects. Data Subject rights under GDPR include the following:

- Right to be informed
- Right of access
- Right to rectification
- Right to erasure
- Right to restrict processing
- Right of data portability
- Right to object
- Rights related to automated decision making including profiling

This Privacy Notice is intended to provide you with information about what personal data RegEdge collects about you and how it is used.

If you wish to confirm that RegEdge is processing your personal data, or to have access to the personal data RegEdge may have about you, please contact us.

You may also request information about the purpose of the processing; the categories of personal data concerned; who else outside RegEdge might have received the data from RegEdge; what the source of the information was (if you didn't provide it directly to RegEdge); and how long it will be stored. You have a right to correct (rectify) the record of your personal data maintained by RegEdge if it is inaccurate. You may request that RegEdge erase that data or cease processing it, subject to certain exceptions. You may also request that RegEdge cease using your data for direct marketing purposes. In many countries, you have a right to lodge a complaint with the appropriate data protection authority if you have concerns about how RegEdge processes your personal data. When technically feasible, RegEdge will—at your request—provide your personal data to you.

Reasonable access to your personal data will be provided at no cost. If access cannot be provided within a reasonable time frame, RegEdge will provide you with a date when the information will be provided. If for some reason access is denied, RegEdge will provide an explanation as to why access has been denied.

For questions or complaints concerning the processing of your personal data, you can email us at info@regeedge.com. Alternatively, if you are in the European Union, you can also have recourse to the European Data Protection Supervisor or with your nation's data protection authority.

Data storage and retention

Your personal data is stored by the RegEdge on the servers of the cloud-based database management services RegEdge engages, located in the United States. This retains service data for the duration of the client's business relationship with the company and for a period thereafter, to analyze the data for RegEdge's own operations, and for historical and archiving purposes associated with RegEdge's services. RegEdge retains prospect data until such time as it no longer has business value and is purged from RegEdge systems. All personal data that RegEdge controls may be deleted upon verified requests from Data Subjects or their authorized agents. For more information on where and how long your personal data is stored, and for more information on your rights of erasure and portability, please contact us at: info@regeedge.com

Children's data

We do not knowingly attempt to solicit or receive information from children.

Questions, concerns or complaints

If you have questions, concerns, complaints, or would like to exercise your rights, please contact us at:

RegEdge LLC, 190 Presidential Blvd, Suite 805, Bala Cynwyd, PA 19004

Email id: info@regeedge.com